

# CS41 Lab 13

December 2 2019

This week, we'll continue exploring NP-COMPLETE decision problems, and develop approximation algorithms for related versions of those problems.

## 1. The hardness of Three-Coloring-OPT

Recall the THREE-COLORING problem: Given a graph  $G = (V, E)$ , output YES iff the vertices in  $G$  can be colored using only three colors such that the endpoints of any edge have different colors. We know that THREE-COLORING is NP-COMPLETE. But what about the optimization version of THREE-COLORING?

Let THREE-COLORING-OPT be the following problem. Given a graph  $G = (V, E)$  as input, color the vertices in  $G$  using at most three colors in a way that maximizes the number of *satisfied* edges, where an edge  $e = (u, v)$  is satisfied if  $u$  and  $v$  have different colors.

Show that if there is a polynomial-time algorithm for THREE-COLORING-OPT then  $P = NP$ .

2. **Traveling Salesman Problem.** In this problem, a salesman travels the country making sales pitches. The salesman must visit  $n$  cities and then return to her home city, all while doing so as cheaply as possible.

The input is a complete graph  $G = (V, E)$  along with nonnegative edge costs  $\{c_e : e \in E\}$ . A *tour* is a simple cycle  $(v_{j_1}, \dots, v_{j_n}, v_{j_1})$  that visits every vertex exactly once.<sup>1</sup> The goal is to output the minimum-cost tour.

For many TSP applications (such as when the cost is proportional to the distance between two cities), it makes sense for the edges to obey the *triangle inequality*: for every  $i, j, k$ , we have

$$c_{(ik)} \leq c_{(ij)} + c_{(jk)}.$$

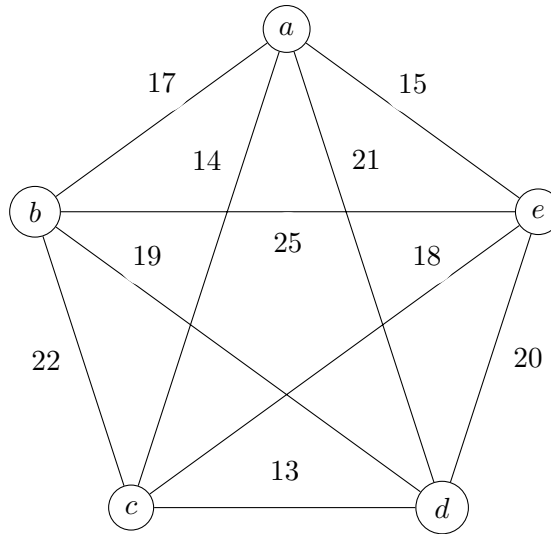
This version is often called METRIC-TSP.

The (decision version of the) Traveling Salesman Problem is NP-COMPLETE. For this problem, you will develop a 2-approximation algorithm for METRIC-TSP.

- (a) First, to gain some intuition, consider the following graph:

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<sup>1</sup>except for the start vertex, which we visit again to complete the cycle



- (b) *On your own* try to identify a cheap tour of the graph.
  - (c) Build some more intuition by computing the minimum spanning tree (MST) of the graph. Let  $T$  be your minimum spanning tree.
  - (d) Let  $OPT$  be the cheapest tour. Show that its cost is bounded below by the cost of the MST:  $\text{cost}(T) \leq \text{cost}(OPT)$ .
  - (e) Give an algorithm which returns a tour  $A$  which costs at most twice the cost of the MST:  $\text{cost}(A) \leq 2 \text{cost}(T)$ .
  - (f) Conclude that your algorithm is a 2-approximation for METRIC-TSP.
3. **Optimization vs Decision Problems.** Recall that a decision problem requires a YES/NO answer, and an optimization problem requires the “best possible answer”, which often means maximizing or minimizing over some *cost* or *score*.

For most optimization problems, there is an obvious analogue as a decision problem. Namely, the decision problem takes an additional input  $k$  and outputs YES iff the input has an feasible solution of *score* at most  $k$  (for a minimization problem) or at least  $k$  (for a maximization problem) For example, consider the following problem:

MIN-VERTEX-COVER: Given a graph  $G = (V, E)$ , return the size of the smallest vertex cover in  $G$ .

MIN-VERTEX-COVER has a natural decision problem, namely VERTEX-COVER. In fact, every optimization problem can be converted to a decision problem in this way.

- (a) Show that  $\text{VERTEX-COVER} \leq_P \text{MIN-VERTEX-COVER}$ .
- (b) Let  $B$  be an arbitrary optimization problem, and let  $A$  be the decision version of  $B$ . Show that

$$A \leq_P B .$$

- (c) Show that  $\text{MIN-VERTEX-COVER} \leq_P \text{VERTEX-COVER}$ .