

# CS41 Lab 11

November 2018

This week, we've been discussing ways to classify problems according to their difficulty, using the notions of polynomial-time reductions and polynomial-time verifiers. In this lab, you'll develop more sophisticated polynomial-time reductions using **gadgets**.

Below is a synopsis of relevant decision problems for this lab.

- **INDEPENDENT-SET.** The input for INDEPENDENT-SET is a graph  $G = (V, E)$  and an integer  $k$ . Output YES iff  $G$  has an independent set of size at least  $k$ .
- **SAT.** The input for SAT is a set of  $n$  boolean variables  $x_1, \dots, x_n$  and  $m$  clauses  $c_1, \dots, c_m$ , where each clause is the OR of one or more literals<sup>1</sup> e.g.  $c_i = x_1 \vee \bar{x}_2 \vee x_3 \vee \bar{x}_{17}$ . Output YES iff there is a truth assignment to  $x_1, \dots, x_n$  that satisfies every clause.
- **3-SAT.** The input for 3-SAT is the same as for SAT, except that each clause is the OR of exactly three literals.
- **THREE-COLORING.** The input for THREE-COLORING is a graph  $G = (V, E)$ . Output YES iff the vertices can be colored using three colors such that each edge has different-colored endpoints.

1. Show that  $3\text{-SAT} \leq_P \text{SAT}$ .

2. Show that  $3\text{-SAT} \leq_P \text{INDEPENDENT-SET}$ . **Hint:** Given an input  $x_1, \dots, x_n, c_1, \dots, c_m$  for 3-SAT, create an input graph  $G = (V, E)$  on  $3m$  vertices as follows:

- (a) For each clause  $c_i = \ell_{i,1} \vee \ell_{i,2} \vee \ell_{i,3}$ , create three vertices  $v_{i,1}, v_{i,2}, v_{i,3}$ . Add edges between each pair of vertices in each clause.
- (b) For each variable  $x_i$ , add an edge between any pair of vertices that represent  $x_i$  and  $\bar{x}_i$ . For example, if  $c_i = x_1 \vee x_2 \vee x_3$  and  $c_j = x_6 \vee \bar{x}_1 \vee \bar{x}_3$ , then add edges  $(v_{i,1}, v_{j,2})$  and  $(v_{i,3}, v_{j,3})$ .

If the 3-SAT instance is a YES instance, what is the size of the largest independent set in  $G$ ? What if the 3-SAT instance is a NO input?

3. In the third exercise, you will reduce  $3\text{-SAT} \leq_P \text{THREE-COLORING}$ . Before getting there, it will be helpful to create some interesting three-colorable graphs. In all of the following exercises, you are to create a three-colorable graph (say the colors are red, blue, green) with certain special properties. The graphs you create should include three vertices marked  $a, b, c$  but can (and often will) include other vertices. Except for the properties specified, these vertices should be *unconstrained*. For example, unless the problem states that e.g.  $a$  cannot be red, it must be possible to color the graph in such a way that  $a$  is red. (You may fix colors for other vertices, just not  $a, b, c$ , and not in a way that constrains the colors of  $a, b, c$ .)

- (a) Create a graph such that  $a, b, c$  all have different colors.

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<sup>1</sup>A *literal* is either a boolean variable  $x_i$  or its negation  $\bar{x}_i$ .

- (b) Create a graph such that  $a, b, c$  all have the same color.
  - (c) Create a graph such that  $a, b, c$  do *NOT* all have the same color.
  - (d) Create a graph such that none of  $a, b, c$  can be green.
  - (e) Create a graph such that none of  $a, b, c$  are green, and they cannot *all* be blue.
4. Show that  $3\text{-SAT} \leq_P \text{THREE-COLORING}$ . (Hint: Associate the color red with TRUE and the color blue with FALSE.)
5. Show that  $\text{SAT} \leq_P 3\text{-SAT}$ .