

# CS41 Lab 12: Randomized and Approximation Algorithms for Three-Coloring

Thursday, April 16

Recall the THREE-COLORING problem: Given a graph  $G = (V, E)$ , output YES iff the vertices in  $G$  can be colored using only three colors such that the endpoints of any edge have different colors. In lab 10, you saw that THREE-COLORING is NP-COMPLETE. In this lab, we'll look at several approximation and randomized algorithms for the optimization version of THREE-COLORING.

Let THREE-COLOR-OPT be the following problem. Given a graph  $G = (V, E)$  as input, color the vertices in  $G$  using at most three colors in a way that maximizes the number of *satisfied* edges, where an edge  $e = (u, v)$  is satisfied if  $u$  and  $v$  have different colors.

For an arbitrary graph  $G = (V, E)$ , let  $c^*$  denote the maximum number of satisfiable edges.

1. **Hardness of Three-Color-OPT.** Show that if there is a polynomial-time algorithm for THREE-COLOR-OPT then  $P = NP$ .
  
2. **Approximation Algorithm.** Give a deterministic, polynomial-time  $(3/2)$ -approximation algorithm for THREE-COLOR-OPT. Your algorithm must satisfy at least  $2c^*/3$  edges.
  
3. **Randomised Algorithms.** Give randomized algorithms for THREE-COLOR-OPT with the following behavior:
  - (a) An algorithm with expected polynomial runtime that always outputs a three-coloring that satisfies at least  $2c^*/3$  edges.
  - (b) An algorithm that runs in worst-case (i.e., not expected) polynomial time and produces a three-coloring such that the expected number of satisfied edges is at least  $2c^*/3$ .
  - (c) An algorithm that runs in worst-case polynomial time, and with probability at least 99% outputs a three-coloring which satisfies at least  $2c^*/3$  edges. What is the running time of your algorithm? The following inequality might be helpful:  $1 - x \leq e^{-x}$  for any  $x > 0$ .

4. **Approximations via Reductions.** (**Extra Credit**— only work on this problem after having sketches for all previous problems). In class, you’ve seen many polynomial-time reductions for decision problems, and you’ve used them to show that several problems are NP-COMplete. In this problem, you will attempt to use similar reductions to create new approximation algorithms.

Our first reduction in class showed that  $\text{INDEPENDENT-SET} \leq_P \text{VERTEX-COVER}$ . Given an algorithm  $\mathcal{A}$  for VERTEX-COVER, we created the following algorithm for INDEPENDENT-SET:

IS-ALG( $G = (V, E), k$ )

- 1  $k' := n - k.$
- 2  $z = \mathcal{A}(G, k').$
- 3 **return**  $z.$

Now, suppose we want an approximation algorithm for INDEPENDENT-SET-OPT that uses a 2-approximation algorithm  $\mathcal{A}'$  for VERTEX-COVER-OPT. What should your algorithm for INDEPENDENT-SET-OPT do? Given the output from  $\mathcal{A}'$ , what should your INDEPENDENT-SET-OPT algorithm output? What kind of approximation guarantee can you give?

Design and analyze an approximation algorithm for INDEPENDENT-SET-OPT. Either prove a formal guarantee for the approximation ratio of your algorithm, or give concrete evidence why that ratio is impossible (or at least hard to calculate).

**Alternately**, design and analyze an approximation algorithm for MAX-3-SAT using your  $(3/2)$ -approximation algorithm for THREE-COLOR-OPT.

5. **Extra Credit.** (Only work on this problem after having sketches for all previous problems). Suppose we’re somehow told that a graph is three-colorable. Could that help us color the graph? In this problem, you’ll shoot for a different kind of approximation. Give a polynomial time deterministic algorithm that, given any *three-colorable* graph  $G = (V, E)$ , colors the graph using  $O(\sqrt{n})$  colors. Note that the endpoints of each edge *must* be different colors, and you’re given that its *possible* to color the graph using just three colors, but you don’t know what the coloring is.

Here are a few hints to help you along:

- (a) First, give a simple greedy algorithm that, given a graph  $G = (V, E)$  such that each vertex has at most  $d$  neighbors, colors  $G$  using only  $d + 1$  colors.
- (b) Second, recall the algorithm for deciding if a graph is *bipartite*.
- (c) Third, start coloring the three-colorable graph taking the vertex with the most neighbors, and coloring those neighbors using just two colors.